

# Wavelength-Selective Nanopatterned III-V on Si Hybrid Photonic Waveguide

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**Abstract:** An heteroepitaxial bonded III-V on Si nanopatterned waveguide is demonstrating a wavelength selective behavior thanks to a super-periodicity added to its sub-wavelength, below band-gap, structuration. Effective Medium Theory has been implemented for modal effective index determination, allowing a rapid and nevertheless detailed investigation of the role of a large number of geometrical parameters. Such nanostructured waveguides offer the versatility for designing complex geometries required for hybrid advanced optical functions on silicon.

## Introduction

The future of all optical networks links relies upon hybrid silicon photonics. III-V materials are still required for optical functions that silicon is not able to efficiently produce. We have developed oxide-free bonding of III-V InP-based material on nanopatterned silicon waveguides [1]. Including a sub-2D photonic bandgap structuration in the lateral claddings of the silicon waveguides provides a large versatility for tailoring the propagated optical mode, thus enabling advanced hybrid optical functions. We demonstrate here that adding further to this 2D structuration a super-periodicity in the propagation direction provides wavelength selectivity. Such a design could be implemented for example within monomode hybrid laser, allowing a large versatility for the mode shaping and selected wavelength.

For modal simulation, being in the sub-photonic band gap regime Effective Medium Theory – EMT–allows determining the modal effective index. EMT is of interest when modal behavior of optical waveguides including nanopatterns is calculated since simulation of the exact geometry requires a tiny meshing which leads to highly demanding computational resources. We have implemented EMT for fast and accurate modal calculation, thus investigating a large variation range for the several geometrical parameters.

## Design of the Photonic Crystal waveguide using Effective Medium Theory

EMT has been demonstrated to fully represent a periodic nanostructured medium when its pitch  $\Lambda$  is much smaller than the wavelength  $\lambda$ ,  $\alpha = \Lambda/\lambda \ll 1$  [2-4]. The nanostructured medium behaves like a uniaxial material. The nanostructured medium is here a two dimensional Photonic Crystal -2D PC- serving as a lateral cladding for the waveguide, with propagation along the  $z$  direction (Fig.1, left), the core of the waveguide is not structured. For the structured material, in the case of electric field along the direction of invariance, the resulting permittivity of the extraordinary index is the mean value of the involved permittivities [2]. As for the ordinary index, it is obtained from the dispersion curve of a 2D PC calculated by a plane wave expansion method [5]. Both ordinary and extraordinary indices are plotted in Fig.1 right, versus the air filling factor, evidencing the uniaxial behaviour of the patterned material.

We use the commercial COMSOL RF mode solver module. We calculated the eigenmodes of a hybrid waveguide in the case of a 400nm-thick InP layer bonded on a 550nm-thick Si guiding layer, on SOI [1], and including 2D periodic nanostructured claddings on both sides. A parametric study is performed according to the PC waveguide width  $w$ , varying the trench depth  $H$  and air-filling factor  $f$  (Fig.1, left). Holes parameters ( $H$ ,  $f$ ) are determined in order to provide large higher order mode selectivity, in TE polarization, according to the waveguide width  $w$ . When the effective index of the fundamental TE mode is obtained, the added super-periodicity is calculated to provide wavelength selectivity for  $1.55\mu\text{m}$ . It consists in a single larger hole added each other longitudinal period (Fig.2-b). A 30% increase has been chosen for the larger hole to ensure the required feedback.

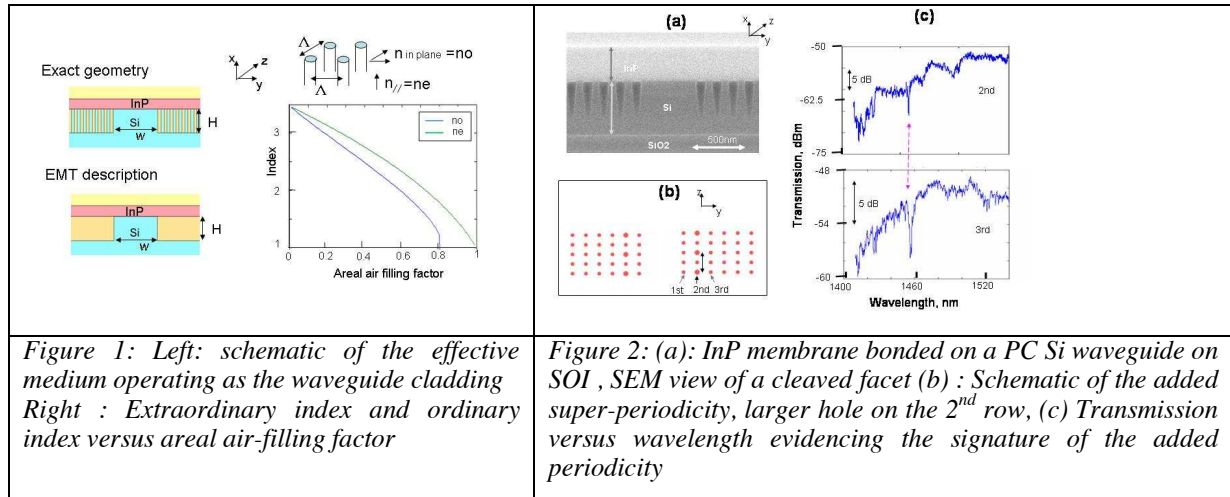


Figure 1: Left: schematic of the effective medium operating as the waveguide cladding Right : Extraordinary index and ordinary index versus areal air-filling factor

Figure 2: (a): InP membrane bonded on a PC Si waveguide on SOI , SEM view of a cleaved facet (b) : Schematic of the added super-periodicity, larger hole on the 2<sup>nd</sup> row, (c) Transmission versus wavelength evidencing the signature of the added periodicity

### Fabrication and measurement of wavelength-selective hybrid waveguides

The sub- $\lambda$  2D PC parameters are the following: square lattice of holes, diameter 60nm, period in the 150 nm range,  $f = 12.5\%$ . For a waveguide width  $w = 0.6\text{-}\mu\text{m}$ , the optimal hole depth for a large higher order modes rejection in TE polarization is  $H = 380\text{nm}$ . Fig.2-a is a SEM image of a hybrid waveguide cleaved facet. Two cleaved facets waveguides including 20 rows of holes on both sides, have been measured on an end-fire set-up including polarization maintaining tunable sources and injection fibre for TE polarization. Collection is performed with a microscope objective allowing simultaneous observation of the guided mode and collection in the fiber. The feedback efficiency of the added larger hole has been experimentally investigated changing its position from the 1<sup>st</sup>, 2<sup>nd</sup> and 3<sup>rd</sup> row (Fig.2-b). Fig.2-c shows the transmission versus wavelength, for  $w = 0.6\text{-}\mu\text{m}$ , for a 30% larger hole. The sharp dip visible at 1455nm corresponds to the feedback effect of the additional periodicity. Its spectral position is in reasonable agreement with the effective index mode calculated with EMT. When going from a larger hole on the 2<sup>nd</sup> to the 3<sup>rd</sup> row (Fig.2-c top to bottom), the feedback wavelength is not affected since the periodicity is the same. Due to the weak lateral effective index step, the feedback efficiency is almost the same. Further characterization is under progress to understand the relatively large variation in overall transmission.

Such a wavelength-selective hybrid waveguide is a good candidate for hybrid single mode laser design.

### Conclusion

We have proposed and experimentally demonstrated the wavelength-selective operation of a sub-photonic band gap 2D photonic crystal hybrid waveguide, when a super-periodicity in the propagation direction has been added to the effective-medium. Effective Medium Theory has been proven to be a reliable tool for modal effective index determination, allowing designing complex geometries required for advanced optical functions.

Such an effective medium design offers a large potential for the conception of any kind of hybrid waveguide dedicated to advanced optical functions, since a tailored geometry can be easily inserted. The great advantage is that changing the geometry in order to obtain a new optical function does not require developing a new technology for material bonding, thus allowing several designs to be included within an hybrid device during a single bonding process.

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### References

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